

7 June 2017

	Licensing Committee		
Date:	19 June 2017		
Time:	7:00pm		
Venue:	Queen Elizabeth II Room, The Shoreham Centre, Pond Road		

Committee Membership: James Butcher (Chairman), David Simmons (Vice Chair), Ann Bridges, Stephen Chipp, Brian Coomber, Emma Evans, David Lambourne, Robin Monk, Lyn Phillips, Ben Stride

Agenda

Part A

1. Declarations of Interest / Substitute Members

Members and officers must declare any disclosable pecuniary interests in relation to any business on the agenda. Declarations should also be made at any stage such an interest becomes apparent during the meeting.

If in doubt contact the Legal or Democratic Services representative for this meeting.

2. Confirmation of Minutes

To approve the minutes of the Licensing Committee meeting of held on 29 March 2017, copies of which have been previously circulated.

3. Public Question Time

To receive any questions from Members of the public in accordance with Standing Order 11.2

(Note: Public Question Time will operate for a maximum of 30 minutes.)

4. Items Raised Under Urgency Provisions

To consider any items the Chairman of the meeting considers to be urgent

5. CCTV Impact assessment for Private Hire and Hackney Carriage licensed vehicles

To consider a report by the Director for Communities, copy attached as item 5

6. Review of Penalty Point system for Hackney Carriage and Private Hire licences

To consider a report by the Director for Communities, copy attached as item 6

Part B - Licensing Act 2003 and Gambling Act 2005 Applications

None

Part C - Not for publication - Exempt Information Reports

None

Recording of this meeting: The Council will be voice recording the meeting including public question time. The recording will be available on the Council's website as soon as practicable after the meeting. The Council will not be recording any discussions in Part B of the agenda (where the press and public have been excluded).

For Democratic Services enquiries relating to this meeting please contact:	For Legal Services enquiries relating to this meeting please contact:
Chris Cadman-Dando Democratic Services Officer 01903 221364 chris.cadman-dando@adur-worthing.gov.uk	Ruth Pallister Solicitor 01903 221050 ruth.pallister@adur-worthing.gov.uk

Duration of the Meeting: Four hours after the commencement of the meeting the Chairperson will adjourn the meeting to consider if it wishes to continue. A vote will be taken and a simple majority in favour will be necessary for the meeting to continue.



Licensing & Control Committee A 19 June 2017 Agenda Item 5

CCTV Impact assessment for Private Hire and Hackney Carriage licensed vehicles

Report by the Director for Communities

1.0 Summary

1.1 This report details for members the outcome of a CCTV Impact Assessment following the Committee's meeting on the 16 January 2017, when it was resolved by Members to approve a new Hackney Carriage and Private Hire Licensing Handbook and the issue of the installation of CCTV be brought back to the Committee following completion of the assessment.

2.0 Background

- 2.1 At the Committee's meeting on the 16 January 2017 a decision was made to defer the decision on compulsory CCTV installation for Private Hire and Hackney Carriage licensed vehicles.
- 2.2 The Committee agreed that a CCTV impact assessment would be required to enable the Committee to make a decision on CCTV.
- 2.3 Some Hackney Carriage and Private Hire Vehicles have already installed CCTV, and the Council's current Handbook does not contain any guidance on the use of CCTV.
- 2.4 If the Council is to allow the use of CCTV in Hackney Carriage and Private Hire vehicles it should ensure that there is clear conditions of use. It is timely, for the licensing conditions to be clear on the optional or mandatory use of CCTV.
- 2.5 Data protection code of practice for surveillance cameras and personal information

In determining the use of CCTV in Hackney Carriage and Private Hire vehicles the Council has relied upon the Information Commissioner's 'Data protection code of practice for surveillance cameras and personal information' (2015) ICO: A data protection code of practice for surveillance cameras and personal information (2015).

CCTV surveillance camera devices which process personal data/information must comply with the principles of the Data Protection Act (DPA) 1998. The code sets out the Information Commissioner's recommendations on how the legal requirements of the DPA can be met. The code also reflects the wider regulatory environment as will as the ICO's

ICO Conducting privacy impact assessments code of practice (2014) SCC : Surveillance Camera Code of Practice (2013)

The ICO promotes privacy impact assessments (PIA) as a tool which will help organisations to comply with their DPA obligations, as well as bringing further benefits.

2.6 CCTV in Hackney Carriage and Private Hire vehicles privacy impact assessments

In order to assess the impact of introducing mandatory or voluntary conditions in relation to the use of CCTV in hackney carriages and private hire vehicles a PIA has been undertaken in consultation with the Council's Data Protection Officer. **Appendix A** conclusion of the PIA, protects the drivers and public and ensures fit and proper purposes.

2.7 CCTV in Hackney Carriage and Private Hire vehicle conditions

The 16 January 2017 Committee report makes reference to the Consultation and for completeness those comments regarding CCTV are attached in **Appendix B**

2.8 The Hackney Carriage and Private Hire handbook was previously approved overall following the consultation process and was agreed that CCTV would follow thereafter to form part of the Hackney Carriage and Private Hire handbook after the impact assessment review see **Appendix C** if approved this appendix will be inserted at the end of Appendix A, B, E, and F of the current approved Hackney Carriage and Private Hire handbook.

3.0 Proposals

- 3.1 Although proprietors are volunteering to install CCTV, officers recommend the formal introduction of a condition for respective hackney carriage and private hire vehicle licences to require the mandatory use of CCTV.
- 3.2 There will be some exceptions to the rule. The risk of violence, threat or intimidation towards executive driver and other speciality business is very small. Accordingly, there should be a presumption in favour of requiring all licensed vehicles to have CCTV with the exception of:
 - Executive or Speciality Vehicles

Adur District Council authorised officers should be given authority to grant such dispensations to proprietors from the requirement to have CCTV on individual merit.

- 3.3 The initial costs of any CCTV system may be recoverable as an allowable business expense for tax purposes. It is understood that a competent system can be purchased and installed for about £474.00 or £26.00 a month. Some insurers may offer reduced insurance premiums where CCTV is installed and has been proven to assist with insurance claims
- 3.4 Should the committee endorse the mandatory use of in car CCTV cameras by way of formal conditions, there should be a presumption in favour of a "phased in" approach to allow both vehicle proprietors and system installers' time to comply with any new committee directives.
- 3.5 Recognition of the PIA assessment should be noted and approve the conditions of use for CCTV in Hackney Carriage and Private Hire vehicles.
- 3.6 Approve the mandatory use of CCTV for;
 - a) new licences from the date of the first issue of the licence; and
 - b) existing licensed vehicles within a year from the issue date of the licence.

4.0 Legal

- 4.1 The Council has a statutory duty when considering applications for hackney carriage and private hire driver's licences under the Local Government (Miscellaneous Provisions) Act 1976 to not grant a unless it is satisfied that the applicant is a fit and proper person to hold a driver's licence.
- 4.2 When determining licensing policies and applications, the principles of the Human Rights Act 1998 must be taken into consideration. The convention rights of both individuals and members of the public as a whole will be given due weight. The Act acknowledges that local authorities are entitled, amongst other things, to act where this is in the "general interest".
- 4.3 Members must consider each licensing issue on its own merits, and in accordance with the principles of natural justice, as well as the provisions of the Local Government (Miscellaneous Provisions) Act 1976. All relevant factors must be taken into account, and all irrelevant factors must be disregarded.
- 4.4 All policies, guidance and applications, before Committee, must be considered against the backdrop of anti-discriminatory legislation, such as the Equality Act 2010 and also in accordance with the Council's stated policy on Equal Opportunities
- 4.5 In accordance with Section 17 of the Crime and Disorder Act 1998 the Council is under a duty to exercise its functions with due regard to the likely effect on, and the need to do all it reasonably can to prevent, crime and disorder in its areas. The possible crime and disorder implications are clearly relevant factors in the

consideration of all licensing matters. In giving "due regard" to these possible implications members will consider and weigh up all the information available and representations made, including those from the public and authorities particularly the Police.

5.0 Financial implications

5.1 There are no financial implications for the Council

6.0 Recommendation

6.1 The Committee is asked to consider the draft Hackney Carriage & Private Hire CCTV conditions and the representations received. Members are requested to approve the adoption of the conditions set out at Appendix C in relation to the installation and use of CCTV in Hackney Carriages and Private Hire vehicles, and that these conditions form part of the licensing handbook previously approved on the 16th January 2017.

Mary D'Arcy Director for Communities

Local Government Act 1972

Background Papers:

- Appendix A Impact Assessment
- Appendix B CCTV representations
- Appendix C Conditions to be applied to Hackney Carriage and Private Hire Vehicles
- Appendix D Photo evidence of injuries inflicted on a driver

Contact Officer:

Principal Author & Contact Officer: Teresa Bowley

Licensing Officer Commerce Way Lancing, BN15 8TA

Tel: 01273 263146 or Email: teresa.bowley@adur-worthing.gov.uk

Schedule of Other Matters

1.0 Council Priority

1.1 Licensing of taxi and private hire drivers is directly related to public safety issues.

2.0 Specific Action Plans

2.1 Matter considered and no issues identified.

3.0 Sustainability Issues

3.1 Matter considered and no issues identified.

4.0 Equality Issues

4.1 Dealt with in the body of the report.

5.0 Community Safety Issues (Section 17)

5.1 The public have a right to expect the Council to protect them from improper persons being granted a licence.

6.0 Human Rights Issues

6.1 All applicants have the right to expect that their application will be properly and fairly considered. The public have a right to expect the Council to protect them from improper persons being granted a licence.

7.0 Reputation

7.1 The Committee must balance the rights of the individual against and the potential risk to the Council's reputation if it should transpire that the wrong decision has been taken.

8.0 Consultations

8.1 Matter considered and no issues identified

9.0 Risk Assessment

9.1 Financial - The only consequences identified are those associated with the defence of an appeal, or a judicial review, if the applicant were to pursue the application through the courts following a decision by this committee that the applicant regarded as unsound.

10.0 Health & Safety Issues

10.1 Matter considered and no issues identified.

11.0 Procurement Strategy

11.1 Matter considered and no issues identified.

12.0 Partnership Working

12.1 Matter considered and no issues identified

Privacy impact assessment (PIA) for CCTV within licences Hackney Carriage and Private Hire Vehicles.

1 Identifying the need for a PIA

As the Council is looking to mandate the use of CCTV as part of Hackney Carriage and Private Hire licensing the Council is undertaking this PIA to look at if the initiative is lawful, proportionate, and to ensure that privacy risks are minimised while allowing the aims of the CCTV in licensed Hackney Carriage and Private Hire vehicles to be met whenever possible. Risks can be identified and addressed at an early stage by analysing how the proposed uses of personal information and technology will work in practice.

As part of that assessment it is necessary to determine who is the 'data controller. The recording and storage of CCTV images is personal data and falls within the Data Protection Act 1998 (DPA). The DPA defines a "data controller" as the individual or organisation which has ultimate responsibility for how personal data is collected and processed. For the purpose of the installation and operation of in-vehicle CCTV, the council considers that the the "data controller" is the company, organisation or individual which has decided to have a CCTV system installed and operating within the vehicle. The 'data controller' is responsible for processing and exercising control over personal information together with how images are stored and how they should be disclosed. Data controllers must ensure that any processing of personal data for which they are responsible complies with the DPA. Failure to do so risks enforcement action, even prosecution, and compensation claims from individuals.

2. Describing the information flows

Purpose

The implementation of CCTV within licensed vehicles will serve multiple purposes which ultimately will enable the prevention and detection of crime and promote a safe experience for both drivers and the travelling public

The purposes include:-

- The protection of licensed drivers
- The protection of the travelling public
- The protection of contracted support
- To ensure that licensed drivers continue to be 'fit and proper' in line with the licensing conditions

The protection of licensed drivers

CCTV footage would allow any review or hearing to consider the CCTV evidence and make a more informed assessment before reaching their decision.

Drivers work alone, often at antisocial hours, visiting areas that may be poorly lit or away from safe routes, and could be carrying any amount of cash within the taxi cab.

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These factors could increase the risk posed to the driver. Violence against driver appear to have increased in general, not only in the Town Centre but in the surrounding areas of the borough and outside the district.

Evidence recorded on CCTV can be used to obtain information on various matters including

- · Racial abuse and physical assaults of drivers
- · Passengers making off without payment
- · Investigation on inappropriate behaviour
- Rape allegations
- · Investigation on passenger stealing property found in taxi

The protection of taxi passengers

Licensed vehicles are used extensively to service the late night economy, the period of which extends well beyond the availability of other forms of public transport. Patrons often have little choice but to use licensed vehicles. Some customers may be vulnerable if they have consumed excessive amounts of alcohol, and or, become separated from their friends. Licensed vehicles are often the only option for vulnerable people who have no direct transport links, or who have special transportation requirements.

Without the benefit of CCTV an example of risks identified are evident in the following case studies:-

- 1. Police often have insufficient information to take a prosecution.
- 2. Victims do not receive appropriate restitution.
- 3. Drivers may continue to trade for extended periods; whilst any appeal is determined, potentially placing other vulnerable people at risk.
- 4. Lack of evidence to effectively deal with the first instance meant the driver was still able to trade, which resulted in a second unrelated allegation of a serious sexual assault (see below case study 1).
- 5. The availability of a more robust evidence base would have, in all likelihood secured a more timely resolution in both cases

Case studies

- 2.9 Incidents recorded to the licensing office in Worthing:
 - Case 1: Hackney Carriage vehicle stolen from the rank after drunk passengers were being dealt with by the driver
 - Case 2: Driver assaulted and robbed insufficient evidence no arrests were made and the vehicle is now installed with CCTV
 - Case 3: Driver and vehicle assault by a passenger who was behaving normally when his attitude suddenly changed and he started to punch out at the driver and windscreen. CCTV footage showed the attack on the driver but due to no sound recording it was difficult to prove the attack on the vehicle. Driver received £200 compensation.

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Case 4: Driver threatened with a knife mobile phone taken. Arrest made no further detail.

Incidents outside of the district:

Case 1: Driver attacked with a samural sword held to the throat passenger fled after stealing takings and car keys. Attackers identified from CCTV on an adjoining building. CCTV has now been installed in the vehicle

Case 2: Driver accused of sexual assault CCTV footage established false allegation and no offence was committed by the driver..

Case 3: Driver assaulted by female passengers then followed and attacked again by the male passenger, CCTV with no sound was installed within the vehicle and recorded the incident. All 3 offenders were found guilty of the offences.

Case 4. Vehicle collision resulted in clarification to the insurance company that it was a non fault accident enabling the driver to continue to work.

The introduction of CCTV allows the Council with strategic partners to work with the trade, taxi marshals and street pastors to signpost people towards vehicles which operate to the highest standards of public safety. The availability of CCTV would increase the fear of sanction and reduce the likelihood of an incident occurring. In the event that a serious incident was to occur, or an allegation be made, then the availability of CCTV would enable an evidence based decision to be made, as to whether a crime has been committed, and increase the likelihood of securing an appropriate sanction.

Consulations

An alternative is to rely on existing controls to safeguard the public and to protect drivers and not to use CCTV.

Existing control measures include the requirement for Disclosure & Barring Service (DBS) checks for drivers upon application and then every three years. Incidents continue to be reported despite these DBS checks.

The DBS check provides a snapshot at that time of categories such as unspent convictions, depending on whether a basic or enhanced check is undertaken. If an incident occurs after a successful check has been undertaken, this would not necessarily be picked up unless the organisation requested another DBS check to be undertaken.

Where the Council receives a complaint or allegation, it currently has no option but to suspend the driver pending an investigation. The implementation of CCTV would provide the council with the means to have a quicker overview of any alleged incident.

What enforcement activity is there?

The Council's Licensing Team carry out periodic enforcement operations in conjunction with other partner agencies e.g. adjoining local authorities, the Road Policing unit. These enforcement operations include, vehicle maintenance checks, airport checks on vehicles, benefit fraud checks, unlicensed operations, misuse of red diesel and immigration

Existing operators and licensing trade

The service has spoken with other authorities who have systems in operation .The service has consulted with the trade, on the licensing policy, which includes the implementation of CCTV Policy and 34 responded to the policy, the feedback received was evaluated and was put forward to the licensing control committee A 26 September 2016 and are attached to this report as **Appendix B**

3. Identifying the privacy and related risks

Risks to individuals

- Inadequate disclosure controls increase the likelihood of information being shared inappropriately.
- The context in which information is used or disclosed can change over time, leading to it being used for different purposes without people's knowledge.
- New surveillance methods may be an unjustified intrusion on their privacy.
- Measures taken against individuals as a result of collecting information about them might be seen as intrusive.
- The sharing and merging of datasets can allow organisations to collect a much wider set of information than individuals might expect.
- Identifiers might be collected and linked which prevent people from using a service anonymously.
- Vulnerable people may be particularly concerned about the risks of identification or the disclosure of information.
- Collecting information and linking identifiers might mean that an organisation is no longer using information which is safely anonymised.
- Information which is collected and stored unnecessarily, or is not properly managed so that duplicate records are created, presents a greater security risk.
- If a retention period is not established information might be used for longer than necessary.
- Not recording at all times of a journey could impact on the comfort and safety of the passenger

Corporate risks

- Non-compliance with the DPA or other legislation can lead to sanctions, fines and reputational damage.
- Not recording and an incident occurring could have reputational damage and impact on internal and external investigations
- Problems which are only identified after the project has launched are more likely to require expensive fixes.

- The use of biometric information or potentially intrusive tracking technologies may cause increased concern and cause people to avoid engaging with the organisation.
- Information which is collected and stored unnecessarily, or is not properly managed so that duplicate records are created, is less useful to the business.
- Public distrust about how information is used can damage an organisation's reputation and lead to loss of business.
- Data losses which damage individuals could lead to claims for compensation.

Compliance risks

- Non-compliance with the Data Protection Act 1998.
- Non-compliance with human rights legislation.
- Non-compliance with the Privacy and Electronic Communications Regulations (PECR).
- Non-compliance with sector specific legislation or standards.

4 Identifying privacy solutions.

Privacy issue	Risk(s)	Solution(s)	Evaluation
Excessive recording of members of the public in the vehicle	New surveillance methods may be an unjustified intrusion on their privacy. People may be concerned about the risks of identification or disclosure of information. Non-compliance with the DPA or other legislation can lead to sanctions, fines and reputational damage. Public distrust about how information is used can damage an organisation's reputation.	The system will automatically overwrite data after 30 days. Public are using a commercial vehicle which is used for public transport and would be expected to abide by the terms and conditions governing the use of the vehicle. Appropriate signage displayed advising of the use of CCTV.	The individual will be aware that they are using a commercial vehicle which is used for public transport and that they must abide by the terms and conditions governing the use of the vehicle. Signage will advise of the use of CCTV. The system to be installed is to protect the public and as such the recording of the data is not considered to be excessive.
Intrusion from recording of members of the public outside the vehicle.	New surveillance methods may be an unjustified intrusion on their privacy.	There will be limited collateral intrusion outside of the vehicle as the camera will be positioned accordingly. Signage will be displayed on the vehicle which will be visible from the outside.	The cameras will be installed in a way that ensures that there will be minimal 'over spill outside of the vehicle. The risk is considered to be minimal. The measure is considered to be justified, compliant and proportionate on this basis
Intrusion of recording of taxi drivers whilst working.	New surveillance methods may be an unjustified intrusion on their privacy. If a retention period is not established information	The system has been installed to protect drivers who are using a commercial vehicle. The driver should be	Drivers are operating a commercial vehicle, which is used for public transport and must already abide by the terms and conditions of their licence. The data is encrypted and will be overwritten after 30 days.

	might be used for longer than necessary. Non-compliance with the DPA or other legislation. can lead to sanctions, fines and reputational damage	operating the vehicle in accordance with the terms and conditions of the Licence. Data is encrypted. Data will only be accessed securely in the event of an incident by approved and restricted staff	The CCTV system is designed to help to protect the welfare and integrity of the drivers.
Intrusion of taxi drivers whilst not working	New surveillance methods may be an unjustified intrusion on their privacy.	A licensed vehicle remains a commercial vehicle, used for public transport 24 hours a day. Data is encrypted. Data will be overwritten after 30 days.	A licensed vehicle remains a commercial vehicle to be used for public transport 24 hours a day. The data is fully encrypted and data would only be accessed in the event of an incident. Only those images related to the incident will be accessed. All other data would be overwritten. There is no commercially available option to switch the system on and off as this would leave it open to abuse, which would result in uncontrollable risks
Storage of data within the vehicle	Should the data be accessed it will display video images of passengers and driver for the previous 30 days. Data could be accessed and/or destroyed illegally to inhibit prevention/detection of crime. Data losses which damage individuals could lead to claims for compensation.	The data is stored within a secure unit. The data is encrypted	The data is stored within a secure, encrypted device, that only an appropriate and authorised licensee can access via the CCTV provider where there is a clear and defined purpose
Disposal of data	Unsecure disposal of data could lead to a DPA Breach. If a retention period is not established information might be used for longer than necessary. Non-compliance with the DPA or other legislation can lead to sanctions, fines and reputational damage.	Data will be automatically overwritten after 30 days. Any data accessed and stored for the purposes of detecting crime and disorder will be kept in accordance with existing policies of retention.	The Council and the service have appropriate data retention policies in place. Any data that has not been accessed for the purposes of detecting crime and disorder will be automatically be overwritten within 30 days.

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Wilful destruction of The data/unlawful access.	Inadequate disclosure controls increase the likelihood of information being shared inappropriately. Wilful destruction may prevent the detection of Crime. Data not stored or disposed of in line with the Data Protection Act 1998 Non-compliance with the DPA or other legislation can lead to sanctions, fines and reputational damage.	The data is held securely and cannot be accessed directly by the driver. Action can be taken under the conditions of the licence in the event that anyone attempts to interfere with the system. The council has disciplinary arrangements in the event of any misconduct by a licensee of member or member of staff	The system is held securely and the data is encrypted. Action can be taken under the terms and conditions of the licence.

Background papers

ICO: A data protection code of practice for surveillance cameras and personal information (2015)

ICO Conducting privacy impact assessments code of practice (2014)
SCC: Surveillance Camera Code of Practice (2013)

ning Hackney Carriage and Private Hire Handbook Consultation

ease provide as much information by using the titles as guidance

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J.,		



AJS Taxis Lancing Ltd
3 Coleridge Road
Goring by Sea
Worthing
West Sussex
BN12 6LR

The Taxi Licensing Team Adur & Worthing Councils 9 Commerce Way Lancing BN15 8TA

Dear Sir or Madam,

I would like to submit my comments in relation to the proposed new handbook.

The new rules would suggest that the Council would like all Hackney Carriages to be coloured black. I can understand that you wish to standardise the colour of all the vehicles but would submit that black would have a detrimental effect on our wedding trade. Why has silver or white been ruled out. Worthing already has white. When Hove and Brighton were separate they all had white cars but with different colour bonnets. It worked for them and although the two taxi areas have not merged it maybe that it may happen in the future. If this was to happen then there would be no need to replace all the vehicles, at great expense to drivers, once again. Please rethink.

It is suggested that all vehicles will have a minimum engine size although you have not given any specification. As a driver who drives a car with a turbo-charged 1400 modern engine, which gives me more brake horsepower than my previous 1900, I would urge the Council to consider this issue carefully. Modern engines are developing rapidly along with Hybrids and Electric vehicles. To set the level to high is to deny the trade the opportunity to capitalise on profitability by saving on fuel costs.

The Council are suggesting that a taxi's mileage should be no higher than the average mileage of a similar vehicle if it was only used for social domestic and pleasure. This is a completely unrealistic expectation. I currently average about 35/40 thousand miles a year. Based on the average household vehicles 12000 miles, I am doing 3 years worth in 1 year. Are the Council saying that although I have my vehicle serviced 4 times every year, I am now going to have to have a new vehicle every 1 or 2 years. Not realistic, I do not know anyone in the local trade who can afford to do this.

Vehicle damage from stone chips could possibly result in vehicles being taken of the

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road. Considering that a large amount of road mileage within our area has been surface dressed this year including the A259 into Worthing, and allowing for the fact that it will be other drivers causing the chips to fly and cause damage to our vehicles, Is the Council suggesting that in order that we avoid any likelihood of damage to our vehicles(which as I say will be no fault of our own) we refuse to carry passengers along such roads, or even worse take a long detour with the inevitable increase in cost to the passenger. We all know that this is not how the trade should operate but if you put in these regulations, that are going to be down to the Licensing Officers discretion it will happen.

CCTV over the last 20 years in the trade I have had no need or desire to have this in my vehicle.

I have a lot of concerns over privacy issues when I am off duty and carrying my family, grandchildren and think that there will be Human Rights issues over recording them without their consent. A simple on off switch will sort this.

Training courses are useful to the trade but please bear in mind that if you try to force drivers who have been in the trade for over 20 years and you start insisting that they do a Disability Awareness course you risk losing experienced drivers who may be like me over 60 and may decide to leave, rather than lose time and money sitting in classrooms.

Are you really telling me that as a self employed driver, that I cannot call on the radio and check that I am available if the client asks when I am dropping them of, or if I am sitting at the Taxi Rank and I am asked to book a job. To tell them that they must phone in, will make me look an idiot, and inefficient. People look out for me in order to ask if I can take them. It is all part of a service that we try to give the public.

I believe that this handbook in its current form should not be proceeded with and that a proper consultation with the trade should take place. This should not be rushed into being as it will have huge implications to the trade, which have not been properly considered.



Chairman
AJ'S Taxis Lancing Ltd.

Re: Adur and Worthing Handbook proposal

1 message

To: Teresa Bowley <teresa.bowley@adur-worthing.gov.uk>



19 July 2016 at 11:55

Hello Teresa,

I have read through the proposed regulations and have a few thoughts as follows;

I think making it compulsory to NOT allow monitors (screen in vehicle), is a bad idea as from experience over the years most councils, if anything, recommend to have a viewing screen fitted and a lot of drivers prefer to have this as it is a good deterrent. However to find some common ground maybe state in regulations that if a viewing monitor is fitted it should have an independent switch fitted which will enable the driver to switch the monitor on and off upon request from customer, that way we have the best of both worlds and it keeps the customer and driver happy.

Audio; this is a very important key factor for CCTV as again from years of experience working in line within various other councils it is a hugely beneficial component to have. Time and time again we have had incidents that have been verbal abuse usually at the driver from, for example a drunken passenger or even racial abuse, sexual abuse etc, and nothing could have been resolved as there was no audio so these people get away with it. Ideally therefore should be decided by the drivers themselves individually whether they should have audio or not.

With the system we provide it is completely tamper proof as the images/ recording and audio are held on SD cards, which is fully encrypted and password protected and also locked securely into the recording unit so they can only be accessed by ourselves.

A very important regulation that was brought in recently in the Brighton and Hove regulations is that ONLY a 3rd party independent company like ourselves can be data controller of the CCTV system. As in past years an individual driver could become their own data controller, but this had obviously caused issues as there is always a possibility of tampering of footage and unnecessary viewing of captured pictures and footage recorded by said individual.

Also I would strongly recommend that the CCTV does NOT have an independent kill switch, so it will permanently be recording the whole time the vehicle is on, so it would be wired up to an ignition supply. There are many reasons why this is important for example; having a kill switch drivers 'forget' to switch the CCTV system on. Having it wired to the ignition ensures that the CCTV will always be recording whilst a customer is in the vehicle. Time and time again we have had a CCTV footage request from various councils wanting to view a particular incident that has been reported by a member of public and then when the driver has come in for the download, there is no images recorded as the CCTV wasn't switched on.

Another key point is to ensure the CCTV footage records for a certain period of time I would recommend a minimum of 14 days, most popular regulations state 28 days recording time. Again this is beneficial for a number of reasons. Customer complaints mainly where the incident has occurred a few weeks prior to the request day of footage, so this will ensure the footage will still be held on the recording system without being overwritten.

I would also strongly recommend that the CCTV system fitted to the vehicles should be either an SD Card system or an SSD (solid state drive) system, as proven in recent years they are a lot more reliable than a hard drive system, reason being there are no moving parts in an SD card system, where as a hard drive system has a hard disk that is constantly running (like a CD) now this can go faulty time and time again and corrupt the drive which will cause loss of all images, as they are not really designed for working in a moving vehicle. This is because when a vehicle is moving, it is constantly vibrating and even bouncing to an extent which will cause the disk to scratch which will result in recording failure.

I hope this information will help you as we are always here to help and give advise as we have years of experience regarding this subject.

Please don't hesitate to give me a call or drop me an email if you require any advice at all as I am happy to help and assist where I can.

Please find attached a copy of our price list for purchase and hire of CCTV equipment as promised.

Many Thanks

Radio Relay

01273 476456

On 19/07/2016 09:43, Teresa Bowley wrote:

Hi

It was good to chat with you this morning, I have attached the handbook for Worthing the Adur handbook is mirrored on the parts that you would be interested in.

The consultation ends on the 1st August and it is anticipated to be before the licensing committees in September 2016 for a final decision.

Look forward to receiving your response and I will keep informed of the consultation and the decision

kind regards

Teresa

Teresa Bowley | Licensing Officer | Adur & Worthing Councils 01273 263146

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CCTV price list.jpeg 209K

Paris Ralay CCTV (July 2016)

	Hire: £/wk (excl. VAT)	Hire: £/mth (incl.VAT)	Purchase (excl. VAT)	Installation (excl.VAT)	Fitted price (inc. vat)	Hire: £/wk Inc. initial installation (excl. VAT)	Hire: £/mth Incl initial installation (incl. VAT)
GCTV 2 CAMERA SYSTEM	£3.99	£20.75	£330.00	£65.00	£474.00	25.00	£26.00
Additional Upgrades	Mar-day (18)						
Microphone	N/A	N/A	£15.00	£0.00	£18.00	A/N	
Front Facing camera	05.03	£2.60	£45.00	£10.00	£66.00	£0.80	
IR Camera	£0.50	£2:60	£70.00	£10.00	£96.00	£0.80	
5" LCD MONITOR	£0.50	£2.60	£45.00	£10.00	£66.00	£0.80	
LCD MONITOR	£0.75	53.90	£60.00	£10.00	£84.00	£0.90	
COTINGE TE THE COLUMN TO STOCK	0, 10	1000					
SOLING WOMING	£4.49	£23.35	£375.00	£75.00	£540.00	£5.80	£30.16
CCIV 2 CAM + 7" MONITOR	£4.74	£24.65	£390.00	£75.00	£558.00	£5.90	£30.68
CCTV 3 CAMERA SYSTEM	£4.49	£23,35	£400.00	£85.00	£582.00	55.80	£30.16
CCTV 3 CAM + 5" MON	£4.99	£25.95	£445.00	£95.00	£648.00	£6.60	
CCTV 3 CAM + 7" MON	£5.24	£27.25	£460.00	£95.00	£666.00	£6.70	
************************************		1				,	
COLV 4 CAMERA SYSTEM	£4.99	£25.95	£470.00	£95.00	£678.00	£6.60	£34.32
CCIV4CAM+5" MON	£5.49	£28.55	£515.00	£105.00	£744.00	£7.40	£38.48
CCTV 4 CAM + 7" MON	£5.74	£29.85	£530.00	£105.00	£762.00	£7.50	

Non-hire customers - £10+VAT for each incident retrieval. Free to hire customers Please note - Hired equipment is covered by our 24 hour backup service

Single SD System 32GB Capacity (Ideal for 1-2 cameras) Twin SD System 128GB Capacity (recommend for 1-4 cameras)

েলা new to book in your installation 01273 476456 - Radio-Relay, Brighton Road, Lewes, BN7 3JL

Adur Hackney Carriage and Private Hire Handbook Consultation

RECEIVED 1 - AUG 2016

Name:

Address: 4 The Street, Shoreham by Sea BN43 5NJ

Page	Number	Comments
Number	point on	
	page	
7	4.7	Disability Awareness Training should be paid for by the relevant
		government body or council.
		Reason: Another charge put upon drivers that they have to pay for,
		unfair as have not had fare rise for nearly 8 years.
8	4.9	Garage Inspection Report, should be allowed the first test to be done by
i		garage you purchased vehicle from.
		Reason: If you buy a vehicle from a garage say in Manchester, they
		usually have MOT station and can do form and MOT on site and it saves
		the fee at a very expensive time, plus ensures vehicle is in top condition.
8	4.15	CCTV facilities should be optional.
		Reasons:
		1. Cost of equipment, another cost to the driver.
		2. In 25 years have had no need for CCTV, as can handle tricky situations
		by talking to customer(s) firmly and fairly.
		3. More clutter in cab.
		4. Lack of privacy when camera is on.
12	5.24	3 Year license needs to be cheaper than 3 x £90
		Reason: Charges to licences is high, council has amalgamated their
		offices with no reduction in our costs. A one off fee of £90 for 3 years
		would be a good way in reducing our fees and to show faith in the trade.
Appendix		
18	2.3A	Mileage for the vehicle is no higher than average mileage for similar
		vehicles used solely for social, domestic or pleasure.
		Reason: This is totally unworkable as taxi's are used as a business, so
		accrue high mileage up to 60,000 miles a year in extreme cases, so this
40	2.6	is wrong and unworkable.
18	2.6	Colour of vehicle should be any colour.
		Reason: When buying a vehicle it will limit your choice, you might find
20	5.1	the right vehicle perfect in every way to be a cab, but wrong colour.
20	3.1	Door plates are totally unnecessary as have plate on rear of vehicle. Reason:
		1. People will not register number on rear doors.
		2. Clutter vehicle with more signage.
		3. Drivers like to do upmarket work, chauffeur work etc., so plates on rear of vehicle suffice.
Appendix	В	Teal of Vehicle Suffice.
21	1.4	Internal licence plate
		Reason: Internal plate in vehicle is going back to the old days of a small
		plate visible to all the customers to see and is a good idea plus will
		register with passengers during their journey, more that door plates on
		outside of vehicle. Agree internal plate good idea.
		Tourse of vernoic, Abree internal place good idea.

Page	Number	Comments
Number	point on	
	page	
22	7.1	First Aid Kit is carried in vehicle at all times.
		Reason: Do away with, people who have no First Aid training may do
		more harm than good. Call 999 or take to A&E as have done in past.
	7.2	Fire Extinguisher in vehicle
		Reason: Do away with, has not been used in 25 years and if car catches
		fire, move away from vehicle and call 999.
Appendix	1	
31	7.2	Lost Property
		If lost property has been left in vehicle, which is usually mobile phones,
		the owner usually rings and you return it. If keys or anything else take to
		Police Station. Fee open to debate.
Appendix	1	
62	1	The Scheme
	•	Totally against this scheme.
		1. The Traffic Police are sufficient in policing taxis and any offence i.e.
		speeding is dealt with by them, with penalty points issued and a fine.
		If a driver has 6 points they are very careful to not get any more.
		2. The Points are unfair and petty and can be ambiguous, misconstrued and doubtful in some cases.
		3. If a driver is not liked could be picked on.
		4. Infringement of Right To Work.
		5. One offence i.e. not wearing badge 10 points and you are unable to
		work, utterly ridiculous and totally wrong.
		6. You will end up soon with no one wanting to be a taxi driver.
		7. 100% against any points system, leave to Licensed Inspectors and Police

Please provide as much information by using the titles as guidance

Name

Addre

Addre		' I DECENZEO I
Page Number	Number point on	Comment 1 - AUG 2016
	page 3.3.	"Licences and the permits" should be
6	3.0.	hackney tariffs! This is necessary because successive
		Commutaces have failed, even on their own terms, to approve to a toriff "necessary to
	3.3.	The tellowing shosted be added: "It is recognised that a duty of
		core is and by the council to all licensed driver." This is necessary because, throughout the document, licensed drivers are treated as isocomo at fault before the fact.
8	4.13.	CCCTV should be optional nor court the moderal will at the frames of cost; the moderal will of the count. Licensect Vehicles are used for prestige hirmy wedly etc. where the hirers do not want themselves recorded. In a small over the bidar cetv isn't really a safety resul.
18	2.1. 21.2. 2.3.	Not were then lonean etc. This is unressay overcomplication. The current condition for a license is essentially the "taxitest": It determines
		26 Continue on additional paper if required



Page 8

4.13 CCTV. This I feel the owner driver should be left to judge as an individual. To many it adds an unwanted cost and more equipment to maintain, with little or no advantage in a low risk area where we mostly work.

Page11

5.16/17/18/19. CSE training as a compulsory need is surely an overkill. If any person is of a mind to exploit another for any reason, training will not change that.

With regard to wheelchair training for those with the appropriate vehicles, it makes sense.

Page18.

Colour of Hackney Vehicles. Whilst not keen on a colour code, black is the least acceptable as it is difficult to see on a dark night when many of us work. Lighter colours are better suited and easier to keep looking presentable as is required.

Apendix M.

Penalty Points Scheme. Many 'offenses' listed are covered by the law of the land which leads to a situation of a double punishment for the same offense.

I have serious concerns that the Licencing Officers, whilst they have a sometimes difficult job, could become judge, jury and possibly executioners of the business of a taxi driver.

4.11 Within the Council's area both Hackney Carriage and Private Hire vehicles are required to display a licence plate on the rear of the vehicle. The plates are different colours to distinguish one class of licensed vehicle from another. This is a key feature in helping to identify vehicles that are properly licensed. There are some exceptions to this requirement which are set out in Appendix G.

4.12 The Council recognises that advertising on licensed vehicles can benefit the trade as it can generate income for the proprietor. As such, the Council permits advertising on its licensed vehicles provided that the advertising for Hackney Carriage vehicles complies with the criteria set out in Appendix B and F.

PAGE 8

Security & Closed Circuit Television (CCTV)

4.13 CCTV facilities are compulsory in a licensed vehicle, the proprietor will be responsible for ensuring the system conforms to the Data Protection Act and other relevant legislation, and displays the necessary informative notice for passengers.

What evidence is there of a problem(s) requiring CCTV or is this, "just in case" legislation? Will the Council contribute to the considerable cost of installing CCTV as in Bournemouth & Southampton? Some companies locally have said that if CCTV is mandatory then they will cease to use Taxis for transport (i.e. Ricardos).

We do not accept a requirement for mandatory CCTV in Taxis, given the absence of historical problems or complaints. However, we would prefer a policy similar to:

"...As it considers it best left to the judgement of individual vehicle drivers/proprietors, the licensing authority will generally not require specific security measures (e.g. CCTV systems) to be installed within licensed vehicles.

In recognition of their lone worker status, together with the risks to which they can be exposed in providing a public service, the licensing authority will, subject to all other requirements being met, generally look sympathetically on the installation and use of in-vehicle security measures that provide some personal protection for vehicle drivers. It will therefore, subject to conditions, where these may be appropriate, normally allow one or more of the following (or similar) modifications to vehicles -

- (a) Installation and use of a suitable bulkhead (where not otherwise required);
- (b) Installation and use of a suitable CCTV camera system;
- (c) Systems that provide for a secure driver cell;
- (d) Installation and use of a GPS tracking system;
- (e) Installation of a panic alarm system (or similar)...'
- 4.14 CCTV equipment in the vehicles must follow the guidance as set out in Appendices B or F as appropriate to their licence.

Application Procedures

4.15 The application process for Hackney Carriage licences are set out in Appendix C, and the application process for Private Hire vehicle licences are set out in Appendix H. All applications must be submitted together with supporting documentation and the relevant application fee.

Consideration of Applications

4.16 The Council will consider each application on its own merits once it is satisfied that the appropriate criteria have been met, the application form is complete and supporting documents have been submitted.

CCTV in Taxis - conditions of use

Introduction

These guidelines set out to ensure that CCTV systems installed in Taxis and Private Hire Vehicles (PHVs) licensed by the Council are properly managed whilst being used to:

- prevent and detect crime
- and enhance the health, safety and security of both Taxi/PHV drivers and passengers
- assisting investigations

Vehicle owners, who may also be the driver and/or operator, installing CCTV systems must fully comply with the requirements set out in these guidelines.

For the purposes of these guidelines the term "CCTV system" will include any electronic recording device attached to the inside of vehicle having the technical capability of capturing and retaining visual images and audio recording from inside or external to the vehicle. In addition to the standard CCTV camera system these may include for example, such devices as events/incident/accident data recording devices.

General requirements

Any CCTV system to be fitted must, as a minimum, meet the requirements set out in this document. Only CCTV systems meeting these requirements and approved by the Council can be installed into licensed taxi and private hire vehicles.

All equipment must be designed, constructed and installed in such a way and in such materials as to present no danger to passengers or driver, including impact with the equipment in the event of a collision or danger from the electrical integrity being breached through vandalism, misuse, or wear and tear.

Privacy and Data Protection

The recording and storage of CCTV images is personal data and falls within the Data Protection Act 1998 (DPA). The DPA defines a "data controller" as the individual or organisation which has ultimate responsibility for how personal data is collected and processed.

For the purpose of the installation and operation of in-vehicle CCTV, the "data controller" is the company, organisation or individual which has decided to have a CCTV system installed and operating within the vehicle. The data controller will be responsible for processing and exercising control over personal information together with how images are stored and how they should be disclosed. Data controllers must ensure that any processing of personal data for which they are responsible complies with the 1988 Act. Failure to do so risks enforcement action, even prosecution, and compensation claims from individuals. Data controllers must register with the ICO and renew annually. Evidence of initial registration and annual renewal with the ICO may be requested by any council authorised officer. https://ico.org.uk/for-organisations/register/

The data controller must ensure that operation of the CCTV complies with the 'CCTV Code of pratice' published on the ICO web site

https://ico.org.uk/media/for-organisations/documents/1542/cctv-code-of-practice.pdf

Using a CCTV service provider

Where a service provider is used for the remote storage and/or management of CCTV data they will act as a 'data processor' (as defined under the Data Protection Act 1999). A data processor, in relation to personal data, means any person (other than an employee of the data controller) who processes data on behalf of the data controller, in response to specific instructions. The data controller retains full responsibility for the actions of the data processor. There must be a formal written contract between the data controller and data processor (service provider). The contract must contain provisions covering security arrangements, retention/deletion instructions, access requests and termination arrangements. Documentary evidence of the contractual arrangements may be required to be presented to a Council official at any time during the term of the vehicle licence.

Using recorded CCTV images

The data controller is responsible for complying with all relevant data protection legislation, as well as being legally responsible for the use of all images including any breaches of privacy and data protection legislation. Any images and/or audio recordings should only be used for these purposes:

- where a crime report has been made involving the specific vehicle and the Police have formally requested that data or,
- when a substantive complaint has been made to the licensing authority regarding a specific vehicle / driver and that complaint is evidenced in writing (and cannot be resolved in any other way),
- where a request is received from an applicant e.g. police or social services, that has a legitimate requirement to have access to the data requested to assist them in an investigation that involves a licensed vehicle or driver.
- Subject Access Request compliant with the Data Protection Act 1998.

The data controller is responsible for responding to these requests in accordance within the Data Protection Act 1998.

CCTV Specification

- The equipment must be permanently wired to the vehicle's ignition power supply.
- The CCTV must be operational at all times the ignition is on and have no override kill switch fitted
- The equipment to have a clear serial or other unique identification number.
- The equipment must not obstruct the driver's view of the road ahead.
- Ensure that all persons including the driver are recorded and clearly identified in all light conditions
- Images to be clear, date and time stamped, in colour and in high definition.
- There must be no facility to view recorded images from within the vehicle.
- The equipment should be permanently fixed and not removable from the vehicle
- The equipment must be tamper proof.
- The equipment must have a built in battery backup.
- Adequately recording after dark.
- Recordings are only kept for 30 days and must be automatically deleted.
- All equipment shall be installed, maintained and serviced by a competent and/or authorised person.
- A simple operator user manual shall be provided on demand.
- All stored images to be protected with no unauthorised access and all images shall be encrypted.
- Any requested images shall be capable of viewing and playback using industry standard software. Upon a request being made by an officer of the Council or a Police officer, for access to the data recorded by the CCTV system, it must be available within 48 hours of the request being made.
- Clear and prominent signs within the vehicle notifying the public that is in use in the vehicle. The signs should giving details of the organisation/person/purpose and contact details
- The camera(s) must record both forward and rear facing images positioned within the vehicle
- There must be a GPS tracking and positioning function together with integration with the vehicle electrics to determine, for example, vehicle speed.
- Vehicles exempt from having CCTV are Executive Vehicles as specified in Appendix G
- There must be no audio function or if an audio function is provided this must be set in the default OFF position and only activated by trigger of a panic button function.
- The CCTV equipment must remain compliant and free of faults.
- The data controller must be a third party company that specialises in CCTV











Licensing & Control Committee A 19 June 2017 Agenda Item 6

Review of Penalty Point system for Hackney Carriage and Private Hire licences

Report by the Director for Communities

1. Recommendation

1.0 Members are invited to view the scoping report reviewing the Hackney Carriage & Private Hire Licensing Penalty point system. Members are requested to consider the document and adopt the document including any amendments considered required.

2.0 Background

- 2.1 At the Licensing Control Committee on the 16 January 2017 the penalty point system was reviewed as part of the new Hackney Carriage & Private Hire Licensing Handbook.
- 2.2 The Committee requested the penalty point system be removed and the for officers to present a scoping report on the issue of enforcement action against a licence holder.

3.0 Proposals

- 3.1 The Committee members are invited to make a decision on the points system based on the facts within the scoping report. **Appendix A**
- 3.2 If approved the penalty point system will form part of the approved Hackney Carriage & Private Hire Handbook. **Appendix B**
- 3.2 Members are invited to review all the information detailed on this report and are requested to consider any matters they feel relevant.
- 3.3 The point system, will allow the licensing authority to deal with licence holders unable to comply with the conditions of licence and those that continually breach the conditions, in a fair and transparent manner in accordance with best practise. The object being to use it as an educational tool in the first instance and thereafter accumulation would require the Committee to consider the matter and make a decision.

4.0 Representations

- 4.1 Representation to the point system was made during the consultation period of the proposed handbook from the 9 May -12 August 2016.
- 4.2 Five Licensed drivers made representations to the penalty points system Appendix C

5.0 Legal

- 5.1 The Council has a statutory duty when considering applications for hackney carriage and private hire driver's licences under the Local Government (Miscellaneous Provisions) Act 1976 to not grant a unless it is satisfied that the applicant is a fit and proper person to hold a driver's licence.
- 5.2 A district council may attach to the grant of a licence such conditions as it may consider reasonably necessary to ensure drivers are fit and proper and vehicles are safe.
- 5.3 When determining licensing policies and applications, the principles of the Human Rights Act 1998 must be taken into consideration. The convention rights of both individuals and members of the public as a whole will be given due weight. The Act acknowledges that local authorities are entitled, amongst other things, to act where this is in the "general interest".
- 5.4 All policies, guidance and applications, before Committee, must be considered against the backdrop of anti-discriminatory legislation, such as the Equality Act 2010 and also in accordance with the Council's stated policy on Equal Opportunities
- 5.5 In accordance with Section 17 of the Crime and Disorder Act 1998 the Council is under a duty to exercise its functions with due regard to the likely effect on, and the need to do all it reasonably can to prevent, crime and disorder in its areas. The possible crime and disorder implications are clearly relevant factors in the consideration of all licensing matters. In giving "due regard" to these possible implications members will consider and weigh up all the information available and representations made, including those from the public and authorities particularly the Police.

6.0 Other Implications

6.1 No significant race relations or equal opportunities implications have been identified.

7.0 Recommendation

7.1 That the Committee consider the scoping report and draft Penalty point system and the representations received.

- 7.2 That Members adopt the penalty point system to form part of the handbook for use by the local industry, new applicants, council officers and members when considering Hackney Carriage & Private Hire Licensing matters.
- 7.3 That Members give reasons for their decision.

Mary D'Arcy Director for Communities

Local Government Act 1972

Background Papers:

- Appendix A Scoping Report
- Appendix B Penalty point system
- Appendix C Representations

Contact Officer:

Principal Author & Contact Officer: Teresa Bowley

Licensing Officer Commerce Way

Lancing, BN15 8TA

Tel: 01273 263146 or Email: teresa.bowley@adur-worthing.gov.uk

Schedule of Other Matters

1.0 Council Priority

1.1 Licensing of taxi and private hire drivers is directly related to public safety Issues.

2.0 Specific Action Plans

2.1 Matter considered and no issues identified.

3.0 Sustainability Issues

3.1 Matter considered and no issues identified.

4.0 Equality Issues

4.1 Dealt with in the body of the report.

5.0 Community Safety Issues (Section 17)

5.1 The Council is not obliged to issue a Hackney Carriage or Private Hire Driver's licence to any person unless the Council is satisfied that that person is a 'fit and proper person' to hold that licence. Hackney Carriage Driver's convey the fare-paying public and expected to comply with all legislative requirements

7.0 Reputation

7.1 The Committee must balance the rights of the individual against and the potential risk to the Council's reputation if it should transpire that the wrong decision has been taken.

8.0 Consultations

8.1 From 9 May until the 12 August 201 consultation 4 objections were received against the the point system.

9.0 Risk Assessment

9.1 Matter considered and no issues identified.

10.0 Health & Safety Issues

10.1 Matter considered and no issues identified.

11.0 Procurement Strategy

11.1 Matter considered and no issues identified.

12.0 Partnership Working

12.1 Matter considered and no issues identified

Outline Scoping Report for a Penalty Point System for Hackney Carriage and Private Hire Driver Licences.

1. Identifying the need for a Penalty Point system

The Council has a duty to ensure that all drivers remain fit and proper during the time they hold a Private Hire or Hackney Carriage Licence.

The fit and proper test on current licence holders is they must have a current DBS certificate, Medical certificate, Driving licence and Immigration status including the right to work in the UK

2. Purpose

The purpose of the scoping report is to set out an understanding goal and the potential advantages for the Penalty Point System.

3. Understanding Current Process

Currently if there is a breach to the Licence conditions, driving offence(s) or criminal offence, a variety of guidance and legislation is available that can be used to refer a licence for review or prosecution.

The Local Authority previously approved the conditions of Licence to be imposed on Hackney Carriage and Private Hire Licences with no specific penalty for breaching these conditions

Driving Offences usually incur penalty points on the driving licence. Penalty points must stay recorded on your driving record for a minimum of 4 years or a maximum of 11 years. If a total of penalty points reaches 12 or more within 3 years the driver can be disqualified.

The Legislation refers to offences under the Town Police Clauses act 1847 and the Miscellaneous Provisions Act 1976 these are statutory provisions that carry a fine level which is set by the Criminal Justice Act 1982 and are cases usually heard in the Magistrates Court. The Local authority may also choose to administer a regulatory sanction as well or instead; depending on their enforcement policy.

Enforcement Policy November 2016 (Attached) is to assist officers when deciding what action to take when carrying out their statutory duty and would be taken into consideration when making any decision.

4. Goals

The penalty point system would work alongside the conditions of licence, legislation and enforcement policy ensuring a fair consistent and proportionate approach is applied.

Enabling the Public Health and Regulation team and provide education, support and training for current licence holders without taking formal action.

The Penalty point system will support any decisions made and ensure persistent offenders or serious matters are dealt with and any potential effect on the intervention ensures public safety

5. Resources required

The penalty point system will provide a process for the Public Health and Regulation to manage, a clear understanding trade and licensing committee ensuring working time is used efficiently and any referrals to committee have been reviewed thoroughly therefore minimising the time resource required.

Appendix A



November 2016

Date for review: January 2020

PUBLIC HEALTH & REGULATION TEAM

ENFORCEMENT POLICY

1. PURPOSE OF THE POLICY

- 1.1 The purpose of this Enforcement Policy is to detail the process all officers will use when deciding what action to take when carrying out their statutory duties on behalf of the Public Health & Regulation (PH&R) team. It should be read in association with the documents referred to in section 2 below.
- 1.2 The main areas of work covered by this Enforcement Policy are:

Food Safety: including inspections of food businesses, sampling of foodstuffs, inspection of ships at Shoreham Harbour, infectious disease control, seizure and detention of unfit food and investigation of consumer complaints about food and food premises.

Health and Safety enforcement: in premises allocated by law to the local authority such as offices, shops, warehouses, catering establishments, hotels and hostels, places of religious worship, leisure centres, places used for child care or playgroups.

Licensing: administering and determining applications for licences, permits and registrations required by individuals and business to authorise the provision of licensable activities. Including such activities as the supply & sale of alcohol, provision of regulated entertainment, sale of late night refreshment, provision of hackney carriage and private hire transport, gambling & lotteries, street trading, sex establishments, scrap metal dealers and charitable collections. Investigating any possible breaches of licensing legislation and taking the appropriate enforcement action to ensure compliance with that legislation and the terms of individual licences and permits.

Noise control: investigating and taking enforcement action to deal with noise from residential, commercial and industrial premises, noise from vessels and noise in the street, such as loud music, barking dogs, intruder alarms and construction site noise.

Pollution: investigating and taking enforcement action to deal with complaints about rubbish, pests, bonfires, odours, dust and light. Monitoring and management of air quality and permitting certain industrial processes under the Pollution, Prevention and Control Act. Regulation of Private Water supplies. Dealing with contaminated land.

2.0 RELATED DOCUMENTS

- 2.1 Documents related to this Policy include:
 - The Code for Crown Prosecutors
 - Criminal Procedure and Investigations Act 1996 (CPIA) and associated
 Code
 - Police & Criminal Evidence Act 1984 (PACE) and associated Codes
 - The Enforcement Concordat
 - The Regulators' Code
 - Regulation of Investigatory Powers Act 2000
 - Legislation relating to each service area
 - Procedures and guidance notes within each team.

3.0 RESPONSIBILITIES

- 3.1 The Public Health & Regulation Manager (PH&R Manager) and Team Leaders are responsible for keeping this Enforcement Policy under review and monitoring it. It is recommended a full review is carried out every three years.
- 3.2 Where authorisation levels are specified within the document, those are considered to be the lowest level of seniority at which such action may be authorised.
- 3.3 All officers have individual responsibility for complying with the Enforcement Policy and must use the most appropriate legislation.
- 3.4 For the purposes of the Criminal Procedure and Investigations Act 1996 the PH&R Manager or Team Leader shall perform the function of Disclosure Officer for their Teams.
- 3.5 For the purposes of the Regulation of Investigatory Powers Act 2000, the Directors and Chief Executive are the Authorising Officers at the Councils for surveillance operations.
- 3.6 Where a shared enforcement role is identified, the relevant enforcement team will liaise with other agencies that may have a shared or complementary enforcement role, to prevent any conflict. Such partners include the County Fire Authority, Trading Standards, Emergency Planning, Police, Health & Safety Executive, Food Standards Agency, UK Border Agency, etc.
- 3.7 Where premises subject to enforcement action are owned or managed by the local authority, all activities will be undertaken in accordance with procedures for non-local authority premises. In cases where statutory action would normally be taken, the relevant Team Leader and Public Health & Regulation Manager will be informed at the earliest opportunity.
- 3.8 Any departure from the policy must be exceptional, justifiable and fully considered by the PH&R Manager having regard to risks to public health, safety and welfare.
- 3.9 Under the Council's constitution and scheme of delegation the decision to prosecute

- or caution in each case will lie with the Head of Legal, following a review of the case with the relevant Team Leader and officer/s (see further at 8.1).
- 3.10 For the purposes of administering Simple Cautions the Head of Legal shall be designated as the "Cautioning Officer".
- 3.11 The training requirements to achieve and maintain the necessary competence levels for authorised officers will be regularly reviewed by the Team Leaders and training resources will be prioritised accordingly.

4.1 INTRODUCTION

- 4.2 This Enforcement Policy helps to promote efficient and effective approaches to regulatory inspection and enforcement, which improve regulatory outcomes without imposing unnecessary burdens. This is in accordance with the Regulators' Code.
- 4.3 In certain instances we may conclude that a provision in the Code is either not relevant or is outweighed by another provision. We will ensure that any decision to depart from the Code will be properly reasoned, based on material evidence and documented.
- 4.4 The aim of the Services is to improve the health, safety and wellbeing of the Councils' residents, visitors and business community. To help us achieve this we will:-
 - A. Work within the legal framework and apply the law fairly;
 - B. Make the service accessible to all sectors of the community;
 - C. Remain responsive to customer needs;
 - D. Deal with customers with honesty and integrity;
 - E. Work in partnership with groups which represent our customers;
 - F. Use the resources available to the maximum benefit of our customers:
 - G. Train and develop our staff to ensure their effectiveness:
 - H. Treat our staff and customers equally in line with the Equality Policy.

These objectives will be achieved through education, guidance, advice and warning letters and where necessary by appropriate legal action, including the service of statutory notices, prohibitions, simple cautions and prosecution.

4.5 The Human Rights Act 1998, which fully came into force on 2nd October 2000 places general obligations on enforcement bodies to ensure fair treatment for all, to prevent any form of prejudice and to provide a right to privacy. This policy reflects the provisions of this Act in general terms as officers we are required to follow agreed policies and procedures and work within our legal powers. Individual team procedures and guidance notes will cover specific areas of our work where particular care must be taken to ensure the Act is followed.

- 4.6 The Team Leaders will ensure that their enforcement actions follow all aspects of good enforcement practice in accordance with the elements of the Enforcement Concordat. The use of enforcement will be proportional to any offence committed, consistent in application (including consistency with other local authorities or enforcement agencies), transparent in its use and appropriate to the circumstances of the particular case in question. In particular:
 - (a) We will ensure that the main provisions of our enforcement policy are written in plain English and will be made available during inspections and other enforcement visits and in correspondence. The complete policy will be available on the Council's web site;
 - (b) A copy of the relevant enforcement procedures will be available on request;
 - (c) We will consult with our customers (including businesses and the public) to obtain continuous improvements to our policy and procedures; this will be incorporated into our three yearly reviews
 - (d) We will ensure that where businesses are required to carry out works, that all associated costs are kept to a minimum.

We will make provision for the particular interests of consumers within our area including business owners, employees and the public. For example we will have access to an interpreting/translation service for those who do not speak English. We will make provision to visit businesses outside of normal office hours if necessary when the business is open.

- 4.7 The Services will carry out their enforcement functions in an equitable, practical and consistent manner and to this end are committed to:-
 - (a) Drawing up clear standards, setting out the level of service and performance the public and business people can expect to receive;
 - (b) Dealing with the public and business community in an open and honest way;
 - (c) Providing a courteous, efficient and helpful service;
 - (d) Responding promptly and positively to complaints about the service;
 - (e) Ensuring that enforcement action is proportionate to the risks in each case.
- 4.8 While it is understood that it is primarily the responsibility of individuals and businesses to ensure compliance with relevant legislation, the Council will help them, where possible, to understand their legal responsibilities. The aim of the Council is to secure compliance with the legislation, which it will do by making the most efficient use of the Council's resources and having regard to minimising burdens on businesses.

4.9 This Policy supports and supplements specific guidance on enforcement action contained in statutory Codes of Practice, Government guidelines, approved industry guides, co-ordinating bodies such as the Better regulation delivery office (BRDO) and other approved sources.

5. TRAINING, COMPETENCY AND AUTHORISATION

- 5.1. Only officers authorised by the Head of Wellbeing may undertake enforcement duties. The Head of Wellbeing will only authorise officers when satisfied with their level of qualification, training and experience. Newly appointed or transferred officers will also be assessed by the Team Leaders for competency and referred for training where necessary, in line with the Councils' training policy.
- 5.2. Officers undertaking enforcement duties will be suitably trained and qualified to ensure they are fully competent to undertake their enforcement activities. Qualifications will be based on current government guidance, where applicable. Appropriate training programmes for officers will be set up to achieve the necessary competence. The training requirements to achieve the necessary level of competence will be regularly reviewed by the Team Leaders. Training will be prioritised within available resources.
- 5.3. Officers are responsible for ensuring they have regard to relevant guidance documents laid out in team procedures.

6. MANAGEMENT SYSTEMS

- 6.1. The Council will maintain a management system to monitor and review the quality and nature of the enforcement activities undertaken in the team in order to demonstrate the effectiveness of the policy with respect to its aims and objectives and to recommend changes and improvements. Issues arising and variations from this policy will be reported through the Public Health & Regulation Team Meetings.
- 6.2. Team Leaders will ensure all staff are trained to ensure they are fully conversant with this policy and arrange retraining and updating when necessary.

7. ADVICE, ISSUE OF INSPECTION REPORTS, WARNING LETTERS

- 7.1. If no breaches of legislation are discovered, or if the officer believes that a complaint or contravention does not warrant investigation or action, then it may be appropriate to take no action.
- 7.2. When a contravention is detected which in the opinion of the officer should be dealt with by advice or warning letter, then the officer will specify the details of the alleged infringement(s) in writing. Where this specifies a period of time within which corrective action should be taken, then a re-visit to the premises will normally be made to ensure compliance. Where possible any time periods for completion of work will be agreed with an appropriate person within the organisation.

- 7.3. It will be appropriate to give advice or a warning when:-
 - 7.3.1. The act or omission is not serious enough to warrant a simple caution or prosecution;
 - 7.3.2. From past history it can be reasonably expected that such action will achieve compliance;
 - 7.3.3. Confidence in the individual and/or organisation is reasonably high;
 - 7.3.4. The consequences of non-compliance will not pose a significant risk to public health or affect the public interest;
 - 7.3.5. The nature of the undertaking is such that formal action in the first instance would be inappropriate, for example with voluntary organisations and charitable groups who are using volunteers to run their operation. Nonetheless this must be considered with the factors outlined above and does not rule out formal action in certain circumstances.
- 7.4. When such an approach is used to secure compliance with legislation, any written documentation issued will:-
 - 7.4.1. Specify the legislation that has been contravened:
 - 7.4.2. Specify what remedial action is required, together with the reasons and timescales for compliance;
 - 7.4.3. Ensure that any recommended areas of good practice which are above the minimum levels required by statute are clearly differentiated within the document;
 - 7.4.4. Where examples are given of how compliance may be achieved, it will be made clear that alternative means of compliance may also be acceptable;
 - 7.4.5. Highlight the right to question works required by raising the matter with the relevant manager;
 - 7.4.6. Where appropriate, include the possible consequences of non-compliance, the possibility of formal action being initiated with possible associated costs to the relevant person.

Copies of such documentation will be sent to all interested parties, such as head or regional offices, contract managers, etc. and in the case of health and safety matters, to staff representatives.

8. ENFORCEMENT OPTIONS

8.1. In cases where more formal action is considered, such decisions will usually be taken by the PH&R Manager following an enforcement review. Enforcement reviews will comprise the appropriate Team Leader (or his/her nominee in his/her

absence), along with the case officer and a lawyer from the Council's Legal Team. Enforcement decisions will be consistent, balanced, fair and relate to common standards that ensure the public, businesses, employees and the environment are adequately protected. Where a decision to administer a simple caution or issue a prosecution is made, such a decision will be made by the Head of Legal Services.

- 8.2. In order to achieve and maintain consistency of enforcement, officers will follow all official guidance and codes of practice.
- 8.3. In the areas of food safety the Primary Authority scheme and Home Authority Principle set up by LACORS will be adhered to and in the area of health and safety the Lead Authority Principle shall be adhered to. Where applicable, the comments of the primary, home, lead or originating authorities shall be considered.
- 8.4. The factors to be considered in coming to a decision include:
 - 8.4.1. The seriousness of the offence and health and safety implications;
 - 8.4.2. The past history of the offender;
 - 8.4.3. The confidence in management and the degree of willfulness involved;
 - 8.4.4. The consequence of non-compliance;
 - (e) Mandatory/discretionary duties;
 - (f) The likely effectiveness of the various enforcement options;
 - (g) The different technical means of remedying the situation;
 - (h) The impact of the enforcement choice in encouraging others to comply with the law.
 - (i) The expediency of initiating enforcement action.
- 8.5. In the event of a contravention being detected then the enforcement options available to the Council include:
 - 8.5.1. Advice:
 - 8.5.2. Issue of an inspection report with details of recommended actions;
 - 8.5.3. Warning letter;
 - 8.5.4. Statutory powers, for example service of notice, emergency prohibition order or seizure provisions;
 - 8.5.5. Simple caution;

- 8.5.6. Licence Review, refusal to renew or revocation of Licences & Permits
- 8.5.7. Prosecution;
- 8.5.8. Injunctive restraint;
- 8.5.9. Execution of work required by statutory notice where the recipient has not complied.
- 8.5.10. Issue of Fixed Penalty Notice

In some cases a combination of enforcement options may be appropriate.

- 8.6. Officers will comply with Codes B, C and E of the Police and Criminal Evidence Act 1984 as it relates to local authority enforcement.
- 8.7. If enforcement action is being considered which the Council believes may be inconsistent with that adopted by other authorities or with nationally recognised guidance, or when the Council is informed of a possible inconsistency, the matter will, if appropriate, be referred to the relevant local co-ordinating body or the Primary, Home, Lead or originating authority. However, where circumstances could result in a serious risk to health, officers may continue to take enforcement action prior to any referrals.

9. STATUTORY NOTICES

- 9.1. The statutory notice procedures will in general be used to enforce legislation which relates to risks to health or quality of life.
- 9.2. Failure to comply with statutory notices will, other than in exceptional circumstances, result in prosecution and/or works in default and the Council will seek to recover the costs from the relevant person.
- 9.3. All statutory notices and intentions to serve a statutory notice will be signed by appropriately trained, experienced and authorised officers. The officer will be satisfied that the contravention is significant and that all appropriate criteria are met. Sufficient evidence will be available to justify the notice, and details of all evidence will be properly recorded to satisfy PACE and CPIA requirements and any other area of relevant legislation.
- 9.4. Time limits given within notices will be reasonable and in accordance with statutory requirements.
- 9.5. Other relevant bodies will be informed of the action as necessary, for example Primary, Home, Lead authorities, or originating authorities, and copies will be forwarded on request.
- 9.6. Recipients of notices will always be informed in writing of their rights to appeal and these will normally be on the rear of the notice unless otherwise stated. They will

also be informed in writing of the consequences of non-compliance.

- 9.7. In the case of breaches of Health and Safety legislation, copies of notices will be made available to staff representatives. Notices having public safety or environmental implications will be placed on a paper based register accessible to members of the public on request.
- 9.8. Compliance with the notice will be checked as soon as possible after the expiry date of the notice. Where appropriate any requests for time extensions to notices will not be unreasonably rejected. Confirmation of an extension or reason for a rejection of an extension will be put in writing to the applicant and will be dependent on the facts of each case.
- 9.9. Works in default: Where the powers of works in default are available, officers will consider the options and take the most appropriate form of action in each case.

10. SIMPLE CAUTIONS

- 10.1. The current guidance is the Ministry of Justice Simple Cautions for Adult Offenders (the latest version is dated 13 April 2015). The guidance is used by police authorities and prosecution agencies. A Simple Caution (once known as a formal or police caution) is a formal warning that may be given to persons aged 18 or over who agree to it and admit to committing an offence. The Simple Caution scheme is designed to provide a means of dealing with low-level, mainly first-time, offending without a prosecution. Simple cautioning is not to be used as an alternative to a weak prosecution case.
- 10.2. A prosecution will only take place where it is in the public interest and where there is sufficient evidence (see section 11 below) to support that course of action. In a case where there is sufficient evidence to warrant a prosecution but the public interest would not benefit from such a course of action, then a Simple Caution may well be an alternative.
- 10.3. Simple Cautions will be issued:
 - To offer a proportionate response to low-level offending where the offender has admitted the offence;
 - To deliver swift, simple and effective justice that carries a deterrent effect;
 - To record an individual's criminal conduct for possible reference in future criminal proceedings or in criminal record or other similar checks;
 - To reduce the likelihood of re-offending;
 - To enable officers to spend more time dealing with more serious cases.
- 10.4. In deciding whether to offer a Simple Caution the Full Code Test as set out in the Code for Crown Prosecutions must be applied.

- 10.5. Such cases should be fully considered by the Team Leader who will present the case to the Head of Wellbeing and the Head of Legal Services for authority to issue a Simple Caution.
- 10.6. The Cautioning Officer will be the Solicitor to the Council, who is the Head of Legal Services and the cautioning procedure in the Ministry of Justice guidance will be followed.
- 10.7. Where appropriate the issue of a simple caution will be notified to a home authority, originating authority, lead authority or primary authority.
- 10.8. If an offender refuses to accept a formal caution, the delegated officer will refer the matter to the Head of legal who may pursue a prosecution, taking into account the relevant guidance and the Council's Constitution.

11. PROSECUTION

- 11.1. The Councils recognise that the decision to prosecute is significant and could have far reaching consequences for all involved including defendants, victims and witnesses.
- 11.2. Each case is unique and will be considered on its own facts and merits.
- 11.3. Where the circumstances warrant it and the alternative actions outlined elsewhere in this policy are considered inappropriate, then a prosecution may result.
- 11.4. In determining whether a prosecution is appropriate the Council will have regard to and apply the guidance within the Code for Crown Prosecutors effective at the material time. It follows that a prosecution will only be progressed when the case has passed both the evidential test and the public interest test. The decision to proceed with a prosecution rests with the Head of Legal Services.

11.5. The Evidential Stage

For any prosecution to proceed the Council must be satisfied that there is enough evidence to provide a 'realistic prospect of conviction' against each defendant on each charge. A realistic prospect of conviction is an objective test that means that a jury or bench of magistrates, properly directed in accordance with the law, is more likely than not to convict the defendant of the charge alleged. This is a separate test from the one that the criminal courts themselves must apply. A jury or Magistrates' Court should only convict if it is sure of a defendant's guilt.

11.6. The Public Interest Stage

The public interest must be considered in each case where there is enough evidence to provide a realistic prospect of conviction. The Council will balance factors for and against prosecution carefully and fairly. Public interest factors that can affect the decision to prosecute usually depend on the seriousness of the offence or the circumstances of the suspect. Some factors may increase the need to prosecute but others may suggest that another course of action would be better. A prosecution will usually take place unless there are public interest factors tending

against prosecution which clearly outweigh those tending in favour, or it appears more appropriate in all the circumstances of the case to divert the person from prosecution. Whichever route is pursued the Local Authority will only start or continue a prosecution if the case has passed both the evidential and public interest stages.

- 11.7. Although not an exhaustive list these are some of the factors that the Council may consider when determining whether a prosecution is appropriate:
 - a) The seriousness of the alleged offence;
 - b) The role played by the defendant in the commission of the offence;
 - c) Any explanation offered by the company or defendant;
 - d) Whether the defendant was in a position of authority or trust;
 - e) Evidence of premeditation on the part of the defendant;
 - f) Evidence that an offence was committed by a group;
 - g) The risk of harm to public health;
 - h) Evidence of a disregard of legal standards for financial reward;
 - The previous history, including whether there is a history of similar offences, or a failure to respond positively to past warnings or statutory notices;
 - j) Any likelihood of a defendant being able to establish a defence;
 - k) The reliability of the evidence available;
 - 1) The competence of witnesses and their willingness to co-operate;
 - m) Evidence of a willingness by the defendant to prevent a recurrence of the problem;
 - n) The need to influence the offender's future behaviour;
 - o) The probable public benefit of a prosecution and the importance of the case in maintaining community confidence;
 - p) The effect on the defendant's, or a witness's physical or mental health balanced against the seriousness of the offence;
 - q) The views of any victims;
 - r) The offence although not serious in itself is widespread in the area where is was committed;
 - s) The penalty which is likely to be imposed, and
 - t) Whether the offence is due to a genuine mistake or misunderstanding (but this must be balanced with the seriousness of the offence).
- 11.8. There may be circumstances where, as well as prosecution, it will also be appropriate to serve a statutory notice to enforce the remedy.
- 11.9. The final decision on whether to prosecute shall be made after:
 - The suspected offender has been given the opportunity to offer an explanation;
 - Wherever possible a full and complete prosecution report file has been prepared and presented to the Head of Legal Services detailing the facts in the prescribed format;
 - The initiating officer has fully discussed the case with the Manager.

- 11.10. Consideration will be given to prosecuting directors of bodies corporate where:-
 - It appears that the offence was committed with his/her consent, connivance or neglect; or
 - It is likely that the body corporate may be wound up to avoid criminal proceedings.
- 11.11. Prosecution of individual employees may be appropriate and may be considered by the Council. Circumstances where a prosecution may result include for example where the employee has clearly contradicted the employers' instructions, has been deliberately obstructive or contravened policy or legislation resulting in offences being committed.
- 11.12. In all cases of obstruction, prosecution will always be considered where this has resulted either in undue delay or in additional work/costs to the local authority, or where false information has been given deliberately.
- 11.13. Where there has been a death at work resulting from a failure to comply with health and safety law, the matter will be referred to the police if the circumstances of the case might justify a charge of manslaughter. The decision to prosecute will be co-ordinated between the Crown Prosecution Service (CPS) and the Health and Safety Executive (HSE) or the Local Authority. A manslaughter case may occur alongside a prosecution for health and safety breaches. In all cases, the Work-Related Death Protocol for liaison, signed by the appropriate agencies will be followed.
- 11.14. On final completion of prosecution cases, officers must inform other interested parties of the outcome of the case as necessary. In particular, any complainants or victims will be informed. The outcome of the case will be reviewed with the relevant Team Leader to discuss any necessary future action.

12. COMPLAINTS AGAINST THE SERVICE

12.1 If any person believes that they have not received fair or consistent treatment as outlined in this Policy, they can access the Councils' Complaints Procedure. The matter will be considered and a decision made as to whether the Enforcement Policy has been breached in this instance and the complainant will be given a reply in writing explaining the decision. This is without prejudice to any formal appeal mechanism.

Appendix M

Penalty Points Scheme

1. The Scheme

- 1.1 Any breach of legislation or the requirements of this Licensing handbook will be fully considered by authorised officers when determining the use of the penalty point system. Where it is decided that the penalty point system is appropriate, the points will be issued in accordance to this appendix. If this appendix allows a range of points for the particular incident, the authorised officer will determine the appropriate number of points proportionate to the offence.
- 1.2 Before penalty points are issued, there must be sufficient evidence to prove, on the balance of probabilities, the offence or breach of licensing requirements. The licence holder will be given the opportunity to provide any mitigating circumstances to be considered. The issuing of penalty points is not a formal sanction in its own right; it is merely an open and transparent method of how a driver will be assessed in terms of the 'fit and proper person' test. The points system is predominately an internal management tool for ensuring that licence holders who repeatedly contravene regulations and/or this Policy are assessed.
- 1.3 Points issued will be confirmed in writing within ten working days from the discovery of the contravention or the conclusion of an investigation into a complaint.
- 1.4 The maximum number of points that can be imposed in respect of any particular matter is 10 but it is possible for one incident or inspection to result in more than one set of points being issued.
- 1.5 If a licence holder accumulates 10 points or more within a rolling period of 12 months then their licence will be subject to a review by the Licensing Committee.
- 1.6 The penalty point system will operate without prejudice to the Council's ability to take other action under appropriate legislation
- 1.7 There is no right of appeal against the award of penalty points by an Authorised Officer of the Council as this is not a formal sanction in its own right. As stated in paragraph 1.2 above, the licence holder's penalty offences will be considered in light of any mitigating circumstances that the licence holder wishes to offer.
- 1.8 The following tables list the breaches of legislation, bye laws and conditions of licence that attract penalty points.

Reference to HC is Hackney Carriage and to PH, Private Hire.

Private Hire

	ffences under the Local Government Miscellaneous Provisions) Act 1976	Points
1	Vehicle not displaying plates. (Sec.48(6)(a)	5
2	Failure to notify vehicle transfer. (Sec.49(1)	5
3	Failure to present vehicle for inspection. (Sec.50(1)	5
4	Failure to inform Authority where the vehicle is stored. (Sec.50(2)	5
5	Failure to report an accident.(Sec.50(3)	5
6	Failure to produce a vehicle licence and insurance certificate.(Sec50(4)	5
7	Failure to produce a vehicle licence and insurance certificate.(Sec50(4)	5
8	Failure to wear driver badge.(Sec.54(2)	10
9	Failure by Operator to keep records of bookings.(Sec.56(2)	10
10	Failure by Operator to keep records of vehicles.(Sec.56(3)	10
11	Failure to produce a PH Operators licence.(Sec56(4)	5
12	Making false statement or withholding information to obtain a licence.(Sec.57(2)	10
13	Failure to return vehicle plate after notice given.(Sec.58(2)	5
14	Failure to surrender driver licence after suspension.(Sec.61(2)	5
15	Charging more than the meter fare when HC used as a PH.(Sec.67)	10
16	Unnecessarily prolonging a journey.(Sec.69)	10
17	Obstruction of an authorised officer or constable.(Sec.73(1)(a)	10
18	Failure to comply with requirement of an authorised officer or constable.(Sec73(1)(b)	10
19	Failure to give information or assistance to an authorised officer or constable.(Sec.73(1)(c)	10
В	Offences under the Transport Act 1980	Points

n above its roof which consists or includes the word "taxi" art of another word e to have a sign above its roof which consists or includes	10
e to have a sign above its roof which consists or includes	
ther alone or part of another word.	10
	Il attract 5 penalty points.

Hackney Carriage

Α	Offences under the Local Government (Miscellaneous Provisions) Act 1976	Points
1	Failure to notify vehicle transfer (Sec.49(1)	5
2	Failure to present vehicle for inspection. (Sec.50(1)	5
3	Failure to inform Authority where the vehicle is stored. (Sec.50(2)	5
4	Failure to report an accident.(Sec.50(3)	5
5	Failure to produce a vehicle licence and insurance certificate.(Sec50(4)	5
6	Failure to produce HC driver licence.(Sec.53(3)	5
7	Making false statement or withholding information to obtain a licence.(Sec.57(2)	10
8	Failure to return vehicle plate after notice given.(Sec.58(2)	5
9	Failure to surrender driver licence after suspension.(Sec.61(2)	5
10	Charging more than the fare shown on the meter for a journey ending outside the district without prior agreement	10
11	Charging more than the meter fare when HC used as a PH.(Sec.67)	10
12	Unnecessarily prolonging a journey.(Sec.69)	10
13	Obstruction of an authorised officer or constable.(Sec.73(1)(a)	10
14	Failure to comply with requirement of an authorised officer or constable.(Sec73(1)(b)	10
15	Failure to give information or assistance to an authorised officer or constable.(Sec.73(1)(c)	10
В	Offences under the town Police Clauses Act 1847	

Sectio n	Offence		
48	Failure by HC Proprietor to hold a copy of HC driver licences of persons who use the vehicle	10	
59	Carrying other person than the hirer without consent		
С	Offences under the Byelaws		
All breac	hes of hackney carriage byelaws shall attract 5 penalty points.		
D	Conditions of Licence		
	Equalities Act 2010		
Section	Offence	Points	
168	Refusal to carry a guide, hearing, or other assistance dog in a hackney carriage without a valid certificate of exemption	10	
168	Charging an additional cost for the carrying of an assistance dog in a hackney carriage	10	
170	Refusal to carry a guide, hearing, or other assistance dog in a private hire vehicle without a valid certificate of exemption	10	
170	Charging an additional cost for the carrying of an assistance dog in a private hire vehicle		
	Hackney Carriage and Private Hire Licensing Policy		
Breach	of policy requirement	Points	
	wear a driver's badge	4	
Failure to	Failure to ensure the safety of passengers		
Conceali	Concealing or defacing a vehicle Licence Plate 4		
	o attend on time for a pre-arranged booking without ble cause	3	
	ng a greater number of passengers than permitted	6	
	Failure to give reasonable assistance with passenger's luggage 3		
	Private hire vehicle soliciting for hire or accepting a fare that is not pre-booked 6 - 10		
Operatin	g a vehicle that is not clean and tidy	2	
	vithout the consent of the proprietor	5	
	or eating in the vehicle whilst carrying passengers	3	
	in a licensed vehicle at any time	4	
equipme	Cause excessive noise from any radio or sound-reproducing equipment 3		
	a private hire vehicle to stand in such a position as to		
	that it is plying for hire or using a hackney carriage stand	6	
	non-hands free mobile telephone whilst driving	4	
Failure to advise of a relevant medical condition 6 - 10			

Failure to provide a receipt for a fare when requested	2
Failure to operate the meter from the commencement of the journey	
and/or charging more than the fixed charge for hire of hackney	
carriages	6 - 10
Failure to notify the Council of any amendment to the details of a	
licence within fourteen days	3
Failure to surrender a driver's licence, badge or plate upon request	6
Failure of a licence holder to disclose pending prosecution or convictions within seven days	
of notification	6
Failure to take found property to the Police	3
Failure to report an accident within seventy two hours	3
Failure to comply with the requirements for the safe carrying of a	
wheelchair	6
Operating a vehicle which is not maintained in a sound and	
roadworthy condition	6 - 10
Failure to carry an approved fire extinguisher	3
Failure to carry an approved first aid kit	3
Operating a vehicle which is not maintained in a clean and/or safe	
condition internally or externally	3
Proach of policy requirement	Points
Breach of policy requirement	
Modifying a vehicle without the consent of the Council	4
Displaying a sign or advertisement on a licensed vehicle contrary to the Policy requirements or has not been approved by the Council	_
· · · · · · · · · · · · · · · · · · ·	4
Driving with no insurance or inadequate insurance for the vehicle	10
Failure of a private hire operator to ensure that all vehicles operated by him are adequately insured	6
<u> </u>	6
Any other contravention of the Policy not covered above	2

ning Hackney Carriage and Private Hire Handbook Consultation

ease provide as much information by using the titles as guidance

Name

Address.

Page Number	Number point on page	Comment
8	4.13	I agree to CCTU veith audio.
62	Penatly points,	Do not agree to the penalty points system
	`	
		PECEIVED 23 AUG 2016
		· .
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		·

Page	Number	Comments
Number	point on	
	page	
22	7.1	First Aid Kit is carried in vehicle at all times.
		Reason: Do away with, people who have no First Aid training may do
		more harm than good. Call 999 or take to A&E as have done in past.
	7.2	Fire Extinguisher in vehicle
		Reason: Do away with, has not been used in 25 years and if car catches
		fire, move away from vehicle and call 999.
Appendix	С	
31	7.2	Lost Property
		If lost property has been left in vehicle, which is usually mobile phones,
		the owner usually rings and you return it. If keys or anything else take to
		Police Station. Fee open to debate.
Appendix	M	
62	1	The Scheme
3		Totally against this scheme.
		1. The Traffic Police are sufficient in policing taxis and any offence i.e.
		speeding is dealt with by them, with penalty points issued and a fine.
		If a driver has 6 points they are very careful to not get any more.
		2. The Points are unfair and petty and can be ambiguous, misconstrued and doubtful in some cases.
		3. If a driver is not liked could be picked on.
		4. Infringement of Right To Work.
		5. One offence i.e. not wearing badge 10 points and you are unable to
		work, utterly ridiculous and totally wrong.
		6. You will end up soon with no one wanting to be a taxi driver.
		7. 100% against any points system, leave to Licensed Inspectors and
		Police

31.7. The world how moved on with the universants or mobile phones (the most commonly orticle lett behind.) People who was the believe It is have lett scueting behind hing if. " The police do not want to look attellin property. It's a waste of their time. Drivers are fundamentally honest and more reachable exports effort to carteret the owner for example if a nochet is lest behind with cards in it. It can to be the banks. 'Spin the pound'is insolting. The whole section should be about thed. Any gressbreach would be covered by fit and prope person turbnes whose responsibility is it to

49 Appendix \$J. (4) *commences hisjournes" short le replace : by "makes contact with the hirer". Otherwise waiting time is a nonsense. If the wording appear anywhere else it shows he amende too.

Appoind ix in 190 62

This is not necessary. My objections are he same as Sean Ridley & Both Radio Couls. XXX The licensury officia have the paver and shoto use it where ne ce ssary by suspending divers, for discipling mater. of a "licensing handbook" and in its primary function, that of the protection of the public which no way would be impeded by Carcabs Limited's operating address being and remaining at 19 Victoria Road, Portslade. We would further submit that the position we are suggesting would in no way affect the Council's requirement to carry out its regulatory functions.

It may be that following on from the renewal of the licence as a private hire operator on 11 July 2016, with its two licensed office locations including that at Brighton (Shoreham) Airport, the Council would consider our concern to be unwarranted. We would of course request clarification but do maintain our view that the currently drafted provision within the proposed redrafted "licensing handbook" is too onerous.

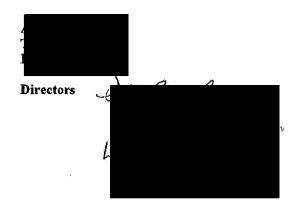
Whilst writing and in addition to the representations we have made with regard to the licensed operator's operating address, we would also make representations in relation to the proposed provision for a "penalty point scheme" which would appear to relate to any breach of legislation or the requirements of the "licensing handbook". We would initially respectfully submit that it is duplication for such a penalty point scheme to be based upon a breach of legislation when the statutes relating to licensed private hire operators (and indeed drivers) already provides sanctions which Parliament considered adequate the protection of the public. So far as the proposed penalty points for a breach of the licensing handbook is concerned by an operator, all would appear to attract the maximum 10 penalty points is inconsistent with the apparently unfettered discretion given to the Council's authorised officers determining the use of that penalty point system. Moreover, we would respectfully submit the narrative relating to the operation of the "penalty point system" would appear to confer upon the Council's authorised officers unfettered discretion in the application of such penalty points. Whilst it is suggested that before issuing such penalty points, there must be sufficient evidence to prove on the balance of probabilities the offence or breach of licensing requirements, the current drafting presupposes the commission of an offence or breach of licensing requirements. With there being no right of appeal against the award of penalty points by an authorised officer, we would suggest the same as a breach of the rules of natural justice, let alone far too onerous and as already stated, confers excessive power upon such authorised officers of the Council.

Again, the licensing legislation more than fully provides the appropriate sanctions and so those relating to a licensed private hire operator should in our respectful submission be removed altogether.

We should be more than pleased to be of further assistance to you and/or to the Council's licensing committee in its review of the draft "licensing handbook" and/or should be more than pleased to meet with you and/or the relevant councillors in this respect. We would be grateful if you would confirm when the licensing handbook will be placed before the licensing subcommittee and as to whether we may attend and make further written and oral representations at such a meeting if held in public.

We would be grateful if you would acknowledge receipt of this letter which we are sending by way of post and e-mail.

Yours sincerely,



Appendix M

Penalty Points Scheme

1. The Scheme

1.1 Any breach of legislation or the requirements of this Licensing handbook will be fully considered by authorised officers when determining the use of the penalty point system. Where it is decided that the penalty point system is appropriate, the points will be issued in accordance to this appendix. If this appendix allows a range of points for the particular incident, the authorised officer will determine the appropriate number of points proportionate to the offence.

Another piece of subjective and discretionary regulation that could be prone to manipulation depending on the individuals concerned! There is a perfectly good judicial system of regulation (including punitive measures) by Act(s) of Statute, together with an accepted "Penalty Points" system issued and enforced by the existing judicial system. This is subjective and discretionary on the part of the Council and therefore is unacceptable duplicate over regulation!!! This kind of unnecessary system has been proven (in other areas) to result in individuals being punished twice for the same offence or breach! The fact that there is no right of appeal casts serious doubt of its judicial value and clearly impacts on an individual's 'Human Rights' under relevant leigslation!!!

1.2 Before penalty points are issued, there must be sufficient evidence to prove, on the balance of probabilities, the offence or breach of licensing requirements. The licence holder will be given the opportunity to provide any mitigating circumstances to be considered. The issuing of penalty points is not a formal sanction in its own right; it is merely an open and transparent method of how a driver will be assessed in terms of the 'fit and proper person' test. The points system is predominately an internal management tool for ensuring that licence holders who repeatedly contravene regulations and/or this Policy are assessed.

The law allows the Council to consider (<u>proper</u>) "Convictions" at any time, so therefore this is unnecessary over regulation!

1.3 Points issued will be confirmed in writing within ten working days from the discovery of the contravention or the conclusion of an investigation into a complaint.

Why as long as 10 days???

- 1.4 The maximum number of points that can be imposed in respect of any particular matter is 10 but it is possible for one incident or inspection to result in more than one set of points being issued.
- 1.5 If a licence holder accumulates 10 points or more within a rolling period of 12 months then their licence will be subject to a review by the Licensing Committee.
- 1.6 The penalty point system will operate without prejudice to the Council's ability to take other action under appropriate legislation
- 1.7 There is no right of appeal against the award of penalty points by an Authorised Officer of the Council as this is not a formal sanction in its own right. As stated in paragraph 1.2 above, the licence holder's penalty offences will be considered in light of any mitigating circumstances that the licence holder wishes to offer.

The mere fact that this will be recorded on a driver's record supports (legally) that this is indeed a sanction of sorts! The fact that there is <u>NO</u> "Right Of Appeal" allows an unacceptable possibility of manipulation of this policy by officers! There is no requirement for this and in areas where it exists, it has proved dubious in its application! We believe that this impacts on an individual's 'Human Rights!!!

1.8 The following tables list the breaches of legislation, bye laws and conditions of licence that attract penalty points.

Reference to HC is Hackney Carriage and to PH, Private Hire.

Private Hire

A Offences under the Local Government (Miscellaneous Provisions) Act 1976 Points

1 Vehicle not displaying plates. (Sec.48(6)(a) 5 2 Failure to notify vehicle transfer. (Sec.49(1) 5 3 Failure to present vehicle for inspection. (Sec.50(1) 5 4 Failure to inform Authority where the vehicle is stored. (Sec.50(2) 5 5 Failure to report an accident (Sec. 50(3) 5 6 Failure to produce a vehicle licence and insurance certificate.(Sec50(4) 5 7 Failure to produce a vehicle licence and insurance certificate.(Sec50(4) 5 8 Failure to wear driver badge (Sec.54(2) 10 9 Failure by Operator to keep records of bookings.(Sec.56(2) 10 10 Failure by Operator to keep records of vehicles. (Sec. 56(3) 10 11 Failure to produce a PH Operators licence.(Sec56(4) 5 12 Making false statement or withholding information to obtain a licence.(Sec.57(2) 10 13 Failure to return vehicle plate after notice given. (Sec. 58(2) 5 14 Failure to surrender driver licence after suspension.(Sec.61(2) 5 15 Charging more than the meter fare when HC used as a PH.(Sec.67) 10 16 Unnecessarily prolonging a journey.(Sec.69) 10 17 Obstruction of an authorised officer or constable.(Sec.73(1)(a) 18 Failure to comply with requirement of an authorised officer or constable.(Sec73(1)(b) 10 19 Failure to give information or assistance to an authorised officer

B Offences under the Transport Act 1980 Points

or constable.(Sec.73(1)(c) 10

Section 64

(2) (a) Driving a vehicle with a sign above its roof which consists or includes the word "taxi" or "cab" whether alone or part of another word **10**

Causes or permits a vehicle to have a sign above its roof which consists or includes (2) (b) the word "taxi" or "cab" whether alone or part of another word. **10**

C Conditions of licence
All breaches of licence conditions shall attract 5 penalty points.

Hackney Carriage

A Offences under the Local Government (Miscellaneous Provisions) Act 1976 Points

- 1 Failure to notify vehicle transfer (Sec.49(1) 5
- 2 Failure to present vehicle for inspection. (Sec. 50(1) 5
- 3 Failure to inform Authority where the vehicle is stored. (Sec.50(2) 5
- 4 Failure to report an accident. (Sec. 50(3) 5
- 5 Failure to produce a vehicle licence and insurance certificate (Sec50(4) **5**
- 6 Failure to produce HC driver licence. (Sec. 53(3) 5
- 7 Making false statement or withholding information to obtain a licence.(Sec.57(2) **10**
- 8 Failure to return vehicle plate after notice given. (Sec. 58(2) 5
- 9 Failure to surrender driver licence after suspension.(Sec.61(2) 5
- 10 Charging more than the fare shown on the meter for a journey ending outside the district without prior agreement **10**
- 11 Charging more than the meter fare when HC used as a PH.(Sec.67) **10**
- 12 Unnecessarily prolonging a journey.(Sec.69) 10
- 13 Obstruction of an authorised officer or constable.(Sec.73(1)(a) **10**
- 14 Failure to comply with requirement of an authorised officer or constable. (Sec73(1)(b) **10**
- 15 Failure to give information or assistance to an authorised officer or constable.(Sec.73(1)(c) **10**

B Offences under the town Police Clauses Act 1847 Section Offence Points

48 Failure by HC Proprietor to hold a copy of HC driver licences of persons who use the vehicle

10

59 Carrying other person than the hirer without consent 10

C Offences under the Byelaws

All breaches of hackney carriage byelaws shall attract 5 penalty points.

D Conditions of Licence Equalities Act 2010 Section Offence Points

168

Refusal to carry a guide, hearing, or other assistance dog in a hackney carriage without a valid certificate of exemption **10**

168 Charging an additional cost for the carrying of an assistance dog in a hackney carriage **10**

170 Refusal to carry a guide, hearing, or other assistance dog in a private hire vehicle without a valid certificate of exemption **10** 170 Charging an additional cost for the carrying of an assistance dog in a private hire vehicle **10**

Hackney Carriage and Private Hire Licensing Policy Breach of policy requirement Points

Failure to wear a driver's badge 4
Failure to ensure the safety of passengers 4

Concealing or defacing a vehicle Licence Plate 4

Failure to attend on time for a pre-arranged booking without reasonable cause **3**

Conveying a greater number of passengers than permitted **6**Failure to give reasonable assistance with passenger's luggage **3**Private hire vehicle soliciting for hire or accepting a fare that is not pre-booked **6 - 10**

Operating a vehicle that is not clean and tidy 2

Driving without the consent of the proprietor 5

Drinking or eating in the vehicle whilst carrying passengers 3

Smoking in a licensed vehicle at any time 4

Cause excessive noise from any radio or sound-reproducing equipment 3

Allowing a private hire vehicle to stand in such a position as to suggest that it is plying for hire or using a hackney carriage stand 6

Using a non-hands free mobile telephone whilst driving 4
Failure to advise of a relevant medical condition 6 - 10
Failure to provide a receipt for a fare when requested 2
Failure to operate the meter from the commencement of the journey and/or charging more than the fixed charge for hire of hackney carriages 6 - 10

Who decides whether it's 6 or 10????

Failure to notify the Council of any amendment to the details of a licence within fourteen days **3**

Failure to surrender a driver's licence, badge or plate upon request **6**

Failure of a licence holder to disclose pending prosecution or convictions within seven days

All of these "Offences" or "Breaches" are covered and administered by a fair and just current judicial and legislative system, with a right of appeal!!! Therefore, we see no reason to have a second and suitably "Kangaroo" Quasi judicial system, administered by Officers and open to potential and discretionary manipulation! We cannot agree to this and question its legality, particularly with regard to current "Human Rights" legislation!!!

Conclusion(s)

In "Adur's" own words, '... This handbook has been prepared in accordance with the relevant legislation and best practice...' Quite upon whose "Best Practice" this is based, or refers, is quite frankly anyone's guess, given that many of the provisions included in this documents differ substantially from and are inconsistent with both well established local industry norms and the policies of neighbouring authorities bar Worthing! Many of these provisions scream "inexperience" as they are clearly unworkable in practice. The whole of this document is unnecessarily irksome, financially onerous and will make our trade potentially financially unviable. A trade that is already financially 'on its uppers' due to saturation and over-supply in all areas! These provisions are unwarranted, unnecessary and what's more unwelcome!!!

To quote the Department For Transport's own words,

'... Licensing requirements which are unduly stringent will tend unreasonably to restrict the supply of taxi and PHV services, by putting up the cost of operation or otherwise restricting entry to the trade. Local licensing authorities should recognise that too restrictive an approach can work against the public interest – and can, indeed, have safety implications...'

They go on to say, '... For example, it is clearly important that somebody using a taxi or PHV to go home alone late at night should be confident that the driver does not have a criminal record for assault and that the vehicle is safe. But on the other hand, if the supply of taxis or PHVs has been unduly constrained by onerous licensing conditions, then that person's safety might be put at risk by having to wait on latenight streets for a taxi or PHV to arrive; he or she might even be tempted to enter an unlicensed vehicle with an unlicensed driver illegally plying for hire...'

Legislation already exists by Act(s) of statute (including punitive clauses) and this handbook merely represents costly over regulation of a trade that is currently struggling financially to survive!

The Department For Transport's view on consultation is:

'... It is good practice for local authorities to <u>consult</u> about any significant proposed changes in licensing rules. Such consultation should include not only the taxi and PHV trades but also groups likely to be the trades' customers. Examples are groups representing disabled people, or Chambers of Commerce, organisations with a wider transport interest (eg the Campaign for Better Transport and other transport providers), womens' groups or local traders...'